

香港證券市場收費、佣金及費用

Charges、Commission and Fees for Hong Kong Securities Market

Effective Date: 10 Jun 2019

A) 證券交易費用 Charges for Securities Trading

佣金收費 Commission Charges		
經紀佣金 Commission Fee	成交金額的 0.25% 0.25% of transaction amount	最低每宗交易港幣 100 Minimum HK\$100 per transaction
交易費用及其他交易徵費 Transaction Fees and other transaction levy		
政府印花稅 Stamp Duty	0.10% 成交金額計算 0.10% of transaction amount	不足港幣 1 元亦作 1 元計算 Rounded up to the nearest dollar
證監會交易徵費 SFC Transaction Levy	0.0027% 成交金額計算 0.0027% of transaction amount	
港交所交易費 HKEX trading Fee	0.005% 成交金額計算 0.005% of transaction amount	
中央結算交收費 CCASS Fee	0.005% 成交金額計算 0.005% of transaction amount	每宗買賣最低港幣 5，最高港幣 200 Minimum HK\$5, Maximum HK\$200

B) 代理人服務及企業行動收費 Nominees Services and Corporate Actions Fees

服務項目 Services Item	收費 Fee	
實物股票存入/轉手紙蓋印費 Physical Scrip Deposit/Transfer Deed Fee	每張港幣 5 HK\$5 per transfer deed	
實物股票提取 Withdrawal of Physical Scrip	每手港幣 10，碎股亦視作一手 HK\$10 per board lot, odd lot treated as board lot	另加每隻港幣 100 Plus HK\$100 per stock
股票轉倉指示 S.I. Settlement Instruction	存入免費，提出 每手港幣 5，碎股亦視作一手 Free for deposit, HK\$5 per board lot for withdrawal, odd lot treated as board lot	另加每隻港幣 100 Plus HK\$100 per stock
	RDVP 每單港幣 300 RDVP HK\$300 per transaction	
股票轉倉指示 I.S.I. Investor Settlement Instruction	存入免費 Free for deposit	提出每隻港幣 20 HK\$20 per stock for withdrawal
代收股息/以股代息/代收紅股 Collection of Dividend/Stock in lieu of cash/Bonus Share	股息的 0.50% 0.50% of dividend	最低港幣 30 Minimum HK\$30
行使供股權及認股權認購 Exercise of Rights and Warrants	每手港幣 0.8 HK\$0.8 per board lot	最低港幣 50 Minimum HK\$50
代收牛熊證剩餘價值/行使備兌認股證費 Callable Bull/Bear Contracts residual value/exercising covered warrant		每隻港幣 50 HK\$50 per stock
現金私有化 Cash Offer	收取金額的 0.50% 0.50% of the collection amount	另加每隻港幣 100 Plus HK\$100 per stock
登記過戶費 Scrip Fee	每手港幣 2.5 HK\$2.5 per board lot	
現金認購新股手續費 Handling Charges for Cash subscription of EIPO	借款利息(如有) Interest on borrowed amount(If any)	每隻港幣 50 HK\$50 per stock

融資認購新股手續費 Handling charges for margin financing in subscription of EIPO	借款利息 Interest on borrowed amount	另加每隻港幣 100 Plus HK\$100 per stock
強制性補購股份 Compulsory Buy-in	0.25%沽空貨值，以 T+2 收市價計算 Based on T+2 closing price, 0.25% of short sell value	另加每隻港幣 200 Plus HK\$200 per stock
代追股息委托費 Handling charges for unclaimed dividend	0.2%股息手續費 0.2% of dividend collection	另加每隻港幣 1,000 Plus HK\$1,000 per stock

C) 其他服務 Other Services

服務項目 Services Item	收費 Fee	
現金帳戶逾期交收利息(年息) Cash Account Overdue Interest (p.a.)	最優惠利率 + 8% (最優惠利率以渣打銀行(香港)有限公司公佈利率為準) Prime Rate + 8% (Prime rate according to Standard Chartered Bank (Hong Kong) Limited quoting)	
保證金帳戶借貸利息(年息) Margin Financing Interest (p.a.)	最優惠利率 + 3% (最優惠利率以渣打銀行(香港)有限公司公佈利率為準) Prime Rate + 3% (Prime rate according to Standard Chartered Bank (Hong Kong) Limited quoting) 違約利率：最優惠利率 + 8% Default Interest Rate : Prime Rate + 8%	
終止支票付款 Stop Payment		每次港幣 300 HK\$300 per transaction
退回支票手續費 Handling charges for Returned cheque		每次港幣 300 HK\$300 per transaction
轉賬付款 Transfer Payment		每次港幣 100 HK\$100 per transaction
跨行轉賬付款(香港內) CHATS (in HK)	銀行費用 Bank Charges	另加每次港幣 150 Plus HK\$150 per transaction
電匯(內地/海外) Telegraph transfer (Mainland China/Overseas)	銀行費用 Bank Charges	另加每次港幣 200 Plus HK\$200 per transaction
資產證明信函 Letter of Confirmation on Assets		每封信港幣 300 HK\$300 per letter
提供日、月結單 Daily Statement and Monthly Statement	電子結單免費，郵寄結單每月港幣 100 Free of charge for Electronic Statement, HK\$100 per month for Statement by mail	
提供額外電子結單 Provide additional Electronic Statement	3 個月內免費 Free of charge for 3 months	每份港幣 100 HK\$100 per statement
托管費 Custody Fee	豁免* Waived*	

*只適用於每隻香港股票持貨手數 5,000 手以下的客戶，如未有豁免的客戶將會代中央結算每月收取每手港幣 0.012。本公司保留最終決定權。

* Only applicable to clients with Hong Kong each stock holding less than 5,000 board lots are eligible to be waived. If no exemption clients will be collected HK\$0.012 per board lot per month on behalf of CCASS. The company reserves the right of final decision